

A Property of Strictly Convex Functions which Differ from each other by a Constant on the Boundary of their Domain

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We prove, in particular, the following result: Let E be a reflexive real Banach space and let $C \subset E$ be a closed convex set, with non-empty interior, whose boundary is sequentially weakly closed and non-convex. Then, for every function $\varphi : \partial C \rightarrow \mathbf{R}$ and for every convex set $S \subseteq E^*$ dense in E^* , there exists $\tilde{\gamma} \in S$ having the following property: for every strictly convex lower semicontinuous function $J : C \rightarrow \mathbf{R}$, Gâteaux differentiable in $\text{int}(C)$, such that $J|_{\partial C} - \varphi$ is constant in ∂C and $\lim_{\|x\| \rightarrow +\infty} (J(x)/\|x\|) = +\infty$ if C is unbounded, $\tilde{\gamma}$ is an algebraically interior point of $J'(\text{int}(C))$ (with respect to E^*).

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1. Introduction

When E is a topological space, a function $f : E \rightarrow \mathbf{R}$ is said to be *inf-compact* if, for every $r \in \mathbf{R}$, the set $f^{-1}(]-\infty, r])$ is compact. When E is a real vector space and $A \subseteq E$, a point $x_0 \in A$ is said to be an *algebraically interior point* of A (with respect to E) if, for every $y \in E$, there exists $\delta > 0$ such that $x_0 + \lambda y \in A$ for all $\lambda \in [0, \delta]$. The algebraic interior of A is the set of all its algebraically interior points.

After recalling these two notions, we can state the result which the title refers to:

Theorem 1.1. *Let E be a reflexive real Banach space and let $C \subset E$ be a closed convex set, with non-empty interior, whose boundary is sequentially weakly closed and non-convex. Then, for every function $\varphi : \partial C \rightarrow \mathbf{R}$ and for every convex set $S \subseteq E^*$ dense in E^* , there exists $\tilde{\gamma} \in S$ having the following property: for every strictly convex lower semicontinuous function $J : C \rightarrow \mathbf{R}$, Gâteaux differentiable in $\text{int}(C)$, such that $J|_{\partial C} - \varphi$ is constant in ∂C and $\lim_{\|x\| \rightarrow +\infty} (J(x)/\|x\|) = +\infty$ if C is unbounded, $\tilde{\gamma}$ is an algebraically interior point of $J'(\text{int}(C))$ (with respect to E^*).*

Now, some comments are in order. The main feature of Theorem 1.1 is the fact that $\tilde{\gamma}$ does not depend on J . But, for a moment, consider simply the following by-product of Theorem 1.1:

If C is as before, then, for every strictly convex lower semicontinuous function $J : C \rightarrow \mathbf{R}$, Gâteaux differentiable in $\text{int}(C)$ and with $\lim_{\|x\| \rightarrow +\infty} (J(x)/\|x\|) = +\infty$ if C is unbounded, the algebraic interior of $J'(\text{int}(C))$ (with respect to E^) is non-empty.*

As far as we know, such a corollary itself is new when E is infinite-dimensional. To the contrary, if E is finite-dimensional, it can essentially be considered known, at least if J' is continuous. Indeed, in that case, J' , being injective (due to the strict convexity of J), turns out to be open, thanks to the invariance of the domain theorem. The assumptions that ∂C is sequentially weakly closed and that $\lim_{\|x\| \rightarrow +\infty} (J(x)/\|x\|) = +\infty$ if C is unbounded cannot be removed. Actually, consider the following situation. Let $f : \mathbf{R} \rightarrow \mathbf{R}$ be a continuous, increasing and bounded function. Define the functional $J : L^2([0, 1]) \rightarrow \mathbf{R}$ by

$$J(u) = \int_0^1 \left(\int_0^{u(x)} f(t) dt \right) dx$$

for all $u \in L^2([0, 1])$. Clearly, J is strictly convex and C^1 , with

$$J'(u) = f \circ u$$

for all $u \in L^2([0, 1])$ (after identifying $(L^2([0, 1])^*$ to $L^2([0, 1])$). Notice that, since $J'(L^2([0, 1])) \subseteq L^\infty([0, 1])$, for each $A \subseteq L^2([0, 1])$, the algebraic interior of $J'(A)$ (with respect to $L^2([0, 1])$) is empty. Now, let C be any closed ball in $L^2([0, 1])$. So, the restriction of J to C is weakly inf-compact. In this case, the conclusion of the corollary fails since ∂C is not sequentially weakly closed. On the other hand, when C is as in the corollary, the conclusion fails since the restriction of J to C is not weakly inf-compact.

Now, we come back to the full statement of Theorem 1.1. We observe that the assumption about the non-convexity of ∂C cannot be removed. In this connection, let U be the set of all C^1 strictly convex functions $J : [0, +\infty[\rightarrow \mathbf{R}$, with $J(0) = 0$ and $\lim_{x \rightarrow +\infty} (J(x)/x) = +\infty$. Fix $y \in \mathbf{R}$. Let $\mu > \max\{0, y\}$. Then, taking $J(x) = \mu(e^x - 1)$, we have $J \in U$ and $J'(x) > y$ for all $x > 0$. So, taking $C = [0, +\infty[$ and $\varphi(0) = 0$, the conclusion of the Theorem 1.1 does not hold: the boundary of C is convex.

Our proof of Theorem 1.1 is fully based on a minimax result obtained in [2]. More precisely, in the next section, we will establish a general abstract result (just based on [2]) from which we will derive a series of consequences among which there is Theorem 1.1.

2. Results

First, let us recall the following result from [2]:

Theorem 2.1. ([2], Theorem 1.1) *Let X be a topological space, F a topological vector space, $Y \subseteq F$ a non-empty convex set and $g : X \times Y \rightarrow \mathbf{R}$ a function satisfying the following conditions:*

- (a) *for each $y \in Y$, the function $g(\cdot, y)$ is lower semicontinuous and inf-compact;*
- (b) *for each $x \in X$, the function $g(x, \cdot)$ is continuous and quasi-concave.*
- (c) $\sup_Y \inf_X g < \inf_X \sup_Y g$.

Then, there exists $y^ \in Y$ such that the function $g(\cdot, y^*)$ has at least two global minima.*

In what follows, E is a topological space and Y is a convex set in a topological vector space. We introduce the two main classes of functions we deal with.

Let $X \subseteq C \subseteq E$ and let $\varphi : X \rightarrow \mathbf{R}$ be a given function. We denote by $\mathcal{B}(X, C, \varphi)$ the class of all functions $J : C \rightarrow \mathbf{R}$ such that $J|_X - \varphi$ is constant in X .

Let $C \subseteq E$ and $S \subseteq Y$. Let $f : C \times S \rightarrow \mathbf{R}$ be a given function. We denote by $\mathcal{C}(C, S, f)$ the class of all functions $J : C \rightarrow \mathbf{R}$ such that, for each $y \in S$, the function $f(\cdot, y) + J(\cdot)$ has at most one global minimum in C .

Our main abstract result is as follows:

Theorem 2.2. *Let $X \subseteq C \subseteq E$, let $S \subseteq Y$ be a convex set dense in Y , let $f : C \times Y \rightarrow \mathbf{R}$ and let $\varphi : X \rightarrow \mathbf{R}$. Assume that:*

- (a) *for each $y \in S$, the function $f(\cdot, y) + \varphi(\cdot)$ is lower semicontinuous and inf-compact in X ;*
- (b) *for each $x \in X$, the function $f(x, \cdot)$ is quasi-concave and continuous in Y ;*
- (c) $\sup_Y \inf_X (f + \varphi) < \inf_X \sup_Y (f + \varphi)$.

Then, there exists a point $y^ \in S$ such that*

$$\inf_{x \in C} (f(x, y^*) + J(x)) < \inf_{x \in X} (f(x, y^*) + J(x))$$

for every $J \in \mathcal{B}(X, C, \varphi) \cap \mathcal{C}(C, S, f)$.

Proof. Consider the function $g : X \times S \rightarrow \mathbf{R}$ defined by

$$g(x, y) = f(x, y) + \varphi(x) \quad \text{for all } (x, y) \in X \times S.$$

For each $x \in X$, by the continuity of $f(x, \cdot)$ and density of S , we have

$$\sup_{y \in S} f(x, y) = \sup_{y \in Y} f(x, y),$$

and so

$$\sup_{y \in S} g(x, y) = \sup_{y \in Y} g(x, y).$$

Therefore, in view of (c), we have

$$\sup_S \inf_X g \leq \sup_Y \inf_X g < \inf_X \sup_Y g = \inf_X \sup_S g.$$

The function g is lower semicontinuous and inf-compact in X , and quasi-concave and continuous in S . So, thanks to Theorem 2.1, there exists $y^* \in S$ such that the function $(f(\cdot, y^*))|_X + \varphi(\cdot)$ has at least two global minima in X .

Now, fix some $J \in \mathcal{B}(X, C, \varphi) \cap \mathcal{C}(C, S, f)$. Since $J|_X - \varphi$ is constant in X , the functions $(f(\cdot, y^*) + J(\cdot))|_X$ and $(f(\cdot, y^*))|_X + \varphi(\cdot)$ have the same global minima in X . Arguing by contradiction, assume that

$$\inf_{x \in C} (f(x, y^*) + J(x)) = \inf_{x \in X} (f(x, y^*) + J(x)). \quad (1)$$

We know that $(f(\cdot, y^*) + J(\cdot))|_X$ has at least two global minima. But, in view of (1), they turn out to be global minima of $f(\cdot, y^*) + J(\cdot)$ in C , against the fact that $J \in \mathcal{C}(C, S, f)$. The proof is complete. $\square$

Here is a remarkable corollary of Theorem 2.2.

Theorem 2.3. *Let $X \subseteq C \subseteq E$, let $S \subseteq Y$ be a convex set dense in Y , let $f : C \times Y \rightarrow \mathbf{R}$ and let $\varphi : X \rightarrow \mathbf{R}$. Assume that:*

- (i) *for each $y \in S$, the function $f(\cdot, y) + \varphi(\cdot)$ is lower semicontinuous and inf-compact in X ;*
- (ii) *for each $x \in X$, the function $f(x, \cdot)$ is quasi-concave and continuous in Y ;*
- (iii) *$\inf_X \sup_Y f = +\infty$ and there exists a finite set $A \subset X$ such that $\sup_Y \inf_A f < +\infty$.*

Then, there exists a point $y^ \in S$ such that*

$$\inf_{x \in C} (f(x, y^*) + J(x)) < \inf_{x \in X} (f(x, y^*) + J(x))$$

for every $J \in \mathcal{B}(X, C, \varphi) \cap \mathcal{C}(C, S, f)$.

Proof. After observing that

$$\sup_Y \inf_X (f + \varphi) \leq \sup_Y \inf_A f + \sup_A \varphi < +\infty = \inf_X \sup_Y f = \inf_X \sup_Y (f + \varphi),$$

the conclusion follows directly from Theorem 2.2. $\square$

In the next two results, E is also a real vector space (and the topology on E is still arbitrary).

Theorem 2.4. *Let $X \subseteq E$, let F be a real normed space, let $S \subseteq F^*$ be a convex set weakly-star dense in F^* let $I : \text{conv}(X) \rightarrow \mathbf{R}$, let $\psi : \text{conv}(X) \rightarrow F$ and let $\varphi : X \rightarrow \mathbf{R}$. Assume that that $\psi(X)$ is not convex and that the function $(I + \eta \circ \psi)|_X + \varphi$ is lower semicontinuous and inf-compact in X for all $\eta \in S$.*

Then, there exists $\tilde{\eta} \in S$ having the following property:

for every function $J : \text{conv}(X) \rightarrow \mathbf{R}$ such that $J|_X - \varphi$ is constant in X and $I + J + \eta \circ \psi$ is strictly convex for all $\eta \in S$, one has

$$\inf_{x \in \text{conv}(X)} (I(x) + J(x) + \tilde{\eta}(\psi(x))) < \inf_{x \in X} (I(x) + J(x) + \tilde{\eta}(\psi(x))).$$

Proof. Fix $y_0 \in \text{conv}(\psi(X)) \setminus \psi(X)$. We apply Theorem 2.3 with $C = \text{conv}(X)$ and $Y = F^*$, considering F^* equipped with the weak-star topology and taking

$$f(x, \eta) = I(x) + \eta(\psi(x) - y_0)$$

for all $(x, \eta) \in C \times Y$.

Clearly, f satisfies conditions (i) and (ii).

Moreover, if $y_0 = \sum_{i=1}^n \lambda_i \psi(x_i)$, where $x_i \in X$, $\lambda_i \geq 0$, $\sum_{i=1}^n \lambda_i = 1$, by Proposition 2.1 of [3], we know that

$$\sup_{\eta \in Y} \inf_{1 \leq i \leq n} f(x_i, \eta) \leq \max_{1 \leq i \leq n} I(x_i) < +\infty.$$

On the other hand, for each $x \in X$, since $\psi(x) \neq y_0$, we have

$$\sup_{\eta \in Y} \eta(\psi(x) - y_0) = +\infty,$$

and so condition (iii) is satisfied too. Now, Theorem 2.3 ensures the existence of $\tilde{\eta} \in S$ such that, for every $J \in \mathcal{B}(X, C, \varphi) \cap \mathcal{C}(C, S, f)$, one has

$$\inf_{x \in \text{conv}(X)} (f(x, \tilde{\eta}) + J(x)) < \inf_{x \in X} (f(x, \tilde{\eta}) + J(x))$$

which means

$$\inf_{x \in \text{conv}(X)} (I(x) + J(x) + \tilde{\eta}(\psi(x))) < \inf_{x \in X} (I(x) + J(x) + \tilde{\eta}(\psi(x))).$$

To finish the proof, it is enough to remark that if $J : \text{conv}(X) \rightarrow \mathbf{R}$ is such that $I + J + \eta \circ \psi$ is strictly convex for all $\eta \in S$, then $J \in \mathcal{C}(C, S, f)$. $\square$

The following result is a particularly simple consequence of Theorem 2.4.

Theorem 2.5. *Let $X \subset E$ be a compact set, let F be a real normed space, and let $\psi : \text{conv}(X) \rightarrow F$ be an affine operator, continuous with respect to the weak topology on F , such that $\psi(X)$ is not convex, and let $\varphi : X \rightarrow \mathbf{R}$ be a lower semicontinuous function. Then, for every convex set $S \subseteq F^*$ weakly-star dense in F^* , there exists $\tilde{\eta} \in S$ having the following property: for every strictly convex function $J : \text{conv}(X) \rightarrow \mathbf{R}$ such that $J|_X - \varphi$ is constant in X , one has*

$$\inf_{x \in \text{conv}(X)} (J(x) + \tilde{\eta}(\psi(x))) < \inf_{x \in X} (J(x) + \tilde{\eta}(\psi(x))).$$

Proof. For each $\eta \in F^*$, the function $\eta \circ \psi$ is continuous since η is weakly continuous. So, $\eta \circ \psi + \varphi$ is lower semicontinuous and inf-compact, since X is compact. Hence, the assumptions of Theorem 2.4 are satisfied, with $I = 0$. Now, our conclusion follows from that of Theorem 2.4 taken into account that if $\eta \in F^*$ and $J : \text{conv}(X) \rightarrow \mathbf{R}$ is a strictly convex function, then so is $\eta \circ \psi + J$ since ψ is affine. $\square$

The next consequence of Theorem 2.4 can be considered as the central result: actually, Theorem 1.1 is a corollary of it.

Theorem 2.6. *Let E be a reflexive real Banach space, let $C \subset E$ be a closed convex set, with non-empty interior, such that ∂C is sequentially weakly closed and non-convex, let $S \subseteq E^*$ be a convex set dense in E^* , let $I : C \rightarrow \mathbf{R}$ be Gâteaux differentiable in $\text{int}(C)$, and let $\varphi : \partial C \rightarrow \mathbf{R}$. Then, there exists $\tilde{\gamma} \in S$ having the following property: for every function $J : C \rightarrow \mathbf{R}$, Gâteaux differentiable in $\text{int}(C)$, such that $J|_{\partial C} - \varphi$ is constant in ∂C and $I + J$ is lower semicontinuous and strictly convex, with $\lim_{\|x\| \rightarrow +\infty} ((I(x) + J(x))/\|x\|) = +\infty$ if C is unbounded, and for every sequentially weakly lower semicontinuous function $G : C \rightarrow \mathbf{R}$, Gâteaux differentiable in $\text{int}(C)$, there exists $\epsilon > 0$ such that, for each $\lambda \in [0, \epsilon]$, the equation*

$$I'(x) + J'(x) + \lambda G'(x) = \tilde{\gamma}$$

has at least one solution in $\text{int}(C)$.

Proof. We apply Theorem 2.4 considering E equipped with the weak topology (but the interior of C is referred to the strong topology) and taking $X = \partial C$, $F = E$ and $\psi(x) = x$ for all $x \in C$ (notice that $\text{conv}(\partial X) \subseteq C$). Of course, it is implicitly understood that there are functions $J_0 : C \rightarrow \mathbf{R}$ such that $J_0|_{\partial C} - \varphi$ is constant in ∂C , and $I + J_0$ is lower semicontinuous and strictly convex, with $\lim_{\|x\| \rightarrow +\infty} (I(x) + J_0(x))/\|x\| = +\infty$ if C is unbounded. So, if J_0 is such a function, it follows that, for each $\eta \in E^*$, the function $I + J_0 + \eta$ is weakly inf-compact in C (in particular, notice that $\lim_{\|x\| \rightarrow +\infty} (I(x) + J_0(x) + \eta(x)) = +\infty$ if C is unbounded) and so $(I + \eta)|_{\partial C} + \varphi$ is weakly inf-compact in ∂C since ∂C is sequentially weakly closed (use also the Eberlein-Smulyan theorem).

Therefore, the assumptions of Theorem 2.4 are satisfied. Consequently, since $-S$ is convex and dense in E^* , there exists $\tilde{\eta} \in -S$, having the following property: for every function $J : C \rightarrow \mathbf{R}$ such that $J|_{\partial C} - \varphi$ is constant in ∂C and $I + J$ is lower semicontinuous and strictly convex, one has

$$\inf_{x \in C} (I(x) + J(x) + \tilde{\eta}(x)) < \inf_{x \in \partial C} (I(x) + J(x) + \tilde{\eta}(x)).$$

In addition, let J be Gâteaux differentiable in $\text{int}(C)$ and let $G : C \rightarrow \mathbf{R}$ be sequentially weakly lower semicontinuous in C and Gâteaux differentiable in $\text{int}(C)$ with $\lim_{\|x\| \rightarrow +\infty} ((I(x) + J(x))/\|x\|) = +\infty$ if C is unbounded. Fix σ so that

$$\inf_{x \in C} (I(x) + J(x) + \tilde{\eta}(x)) < \sigma < \inf_{x \in \partial C} (I(x) + J(x) + \tilde{\eta}(x)). \quad (2)$$

Since the set $\{x \in C : I(x) + J(x) + \tilde{\eta}(x) \leq \sigma\}$ is sequentially weakly compact, in view of Theorem 2.1 of [1], there exists $\epsilon > 0$ such that, for every $\lambda \in [0, \epsilon[$, the restriction of the function $I + J + \tilde{\eta} + \lambda G$ to the set

$$\{x \in C : I(x) + J(x) + \tilde{\eta}(x) < \sigma\}$$

has a global minimum, say $\tilde{x}$. But, due to (2), we have

$$\{x \in C : I(x) + J(x) + \tilde{\eta}(x) < \sigma\} \subseteq \text{int}(C)$$

and, since $I + J + \tilde{\eta}$ turns out to be continuous in $\text{int}(C)$, this implies that

$$I'(\tilde{x}) + J'(\tilde{x}) + \lambda G(\tilde{x}) + \tilde{\eta} = 0,$$

as claimed, with $\tilde{\gamma} = -\tilde{\eta}$. □

Now, we can give the

Proof of Theorem 1.1. Let $S \subseteq E^*$ be a convex set dense in the space E^* and let $\varphi : \partial X \rightarrow \mathbf{R}$. Apply Theorem 2.6 with $I = 0$. Let $\tilde{\gamma} \in S$ be as in the conclusion of Theorem 2.6. Fix any lower semicontinuous and strictly convex function $J : C \rightarrow \mathbf{R}$, Gâteaux differentiable in $\text{int}(C)$, such that $J|_{\partial C} - \varphi$ is constant in ∂C , with $\lim_{\|x\|_E \rightarrow +\infty} (J(x))/\|x\| = +\infty$ if C is unbounded. Now, fix any $G \in E^*$. Then, there exists $\epsilon > 0$ such that the equation

$$J'(x) - \lambda G = \tilde{\gamma}$$

has a solution in $\text{int}(C)$ for all $\lambda \in [0, \epsilon[$, and this means exactly that the point $\tilde{\gamma}$ is an algebraically interior point of $J'(\text{int}(C))$. □

In a finite-dimensional setting, another consequence of Theorem 2.6 is as follows:

Theorem 2.7. *Let E be a finite-dimensional real Banach space and let $C \subset E$ be a compact convex set with non-empty interior. Then, for every function $\varphi : \partial C \rightarrow \mathbf{R}$, there exists $\tilde{\gamma} \in E^*$ having the following property: for every lower semicontinuous strictly convex function $J : C \rightarrow \mathbf{R}$, Gâteaux differentiable in $\text{int}(C)$, such that $J|_{\partial C} - \varphi$ is constant in ∂C , and for every lower semicontinuous, bounded below and Gâteaux differentiable function $H : \text{int}(C) \rightarrow \mathbf{R}$, there exists $\epsilon > 0$ such that, for each $\lambda \in [0, \epsilon[$, the equation*

$$J'(x) + \lambda H'(x) = \tilde{\gamma}$$

has at least one solution in $\text{int}(C)$.

Proof. Of course, since C is compact, ∂C is not convex. Fix $\varphi : \partial C \rightarrow \mathbf{R}$ and apply Theorem 2.6, with $I = 0$. Let $\tilde{\gamma} \in E^*$ be as in the conclusion of Theorem 2.6. Let $J : C \rightarrow \mathbf{R}$ be a lower semicontinuous strictly convex function, Gâteaux differentiable in $\text{int}(C)$, such that $J|_{\partial C} - \varphi$ is constant in ∂C , and let $H : \text{int}(C) \rightarrow \mathbf{R}$ be lower semicontinuous, bounded below and Gâteaux differentiable. Now, consider the function $G : C \rightarrow \mathbf{R}$ defined by

$$G(x) = \begin{cases} H(x) & \text{if } x \in \text{int}(C) \\ a & \text{if } x \in \partial C, \end{cases}$$

where $a = \inf_C H$. Clearly, G is lower semicontinuous in C . Consequently, there exists $\epsilon > 0$ such that, for each $\lambda \in [0, \epsilon[$, the equation

$$J'(x) + \lambda G'(x) = \tilde{\gamma}$$

has at least one solution in $\text{int}(C)$. The conclusion holds since $H = G$ in $\text{int}(C)$. $\square$

Finally, we highlight the following consequence of Theorem 2.7:

Theorem 2.8. *Let E be a finite-dimensional real Hilbert space and let $C \subset E$ be a closed ball, centered at 0.*

Then, for every function $\varphi : \partial C \rightarrow \mathbf{R}$, there exists $\tilde{\gamma} \in E^$, having the following property: for every $P \in C^1(C)$ such that P' is Lipschitzian with Lipschitz constant $L \geq 0$, for every $\mu > L$, for every lower semicontinuous convex function $Q : C \rightarrow \mathbf{R}$, Gâteaux differentiable in $\text{int}(C)$, such that $(P + Q)|_{\partial C} - \varphi$ is constant in ∂C , and for every lower semicontinuous, bounded below and Gâteaux differentiable function $H : \text{int}(C) \rightarrow \mathbf{R}$, there exists $\epsilon > 0$ such that, for each $\lambda \in [0, \epsilon[$, the equation*

$$\mu x + P'(x) + Q'(x) + \lambda H'(x) = \tilde{\gamma}$$

has at least one solution in $\text{int}(C)$.

Proof. Fix $\varphi : \partial C \rightarrow \mathbf{R}$. Let $\tilde{\gamma}$ be as in the conclusion of Theorem 2.7. Observe that, since $\mu > L$, the function $\frac{\mu}{2} \|\cdot\|^2 + P(\cdot)$ turns out to be strictly convex since E is a Hilbert space. Consequently, the function

$$J(\cdot) := \frac{\mu}{2} \|\cdot\|^2 + P(\cdot) + Q(\cdot)$$

is lower semicontinuous, strictly convex, Gâteaux differentiable in $\text{int}(C)$ and $J|_{\partial C} - \varphi$ is constant in ∂C . Hence, the conclusion of Theorem 2.7 applies with such a function J and we are done. $\square$

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